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多策略改进麻雀算法与 BiLSTM 的变压器故障诊断研究^{*}

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摘 要: 针对变压器故障诊断精度低的问题,提出一种基于多策略改进麻雀算法(MISSA)和双向长短期记忆网络(BiLSTM)的变压器故障诊断模型。该模型采用溶解气体分析(DGA)技术提取变压器故障特征,利用未编码比值法提取9维故障特征作为模型输入。在输出层采用Softmax函数获得故障诊断类型。麻雀搜索算法(SSA)通过 Logistic 混沌映射、均匀分布的动态自适应权重和动态拉普拉斯算子进行改进。在初始解集上,采用多策略改进麻雀算法(MISSA)优化目标超参数。在此过程中,变压器故障诊断精度得到优化,并采用核主成分分析(KPCA)降低故障特征维数,加速模型的收敛速度。与 PSO-BiLSTM、GWO-BiLSTM 和 SSA-BiLSTM 故障诊断模型相比,该模型的诊断精度为 94%,分别提高了 11.33%、8.67% 和 6%。

关键词: 变压器; 溶解气体; 麻雀算法; 深度学习; 核主成分分析
中图分类号: TM411 TH165.3 文献标识码: A 国家标准学科分类代码: 470.40

Research on transformer fault diagnosis based on the improved multi-strategy sparrow algorithm and BiLSTM

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Abstract: To enhance the low precision of transformer fault diagnosis, a model based on multi-strategy improved sparrow algorithm (MISSA) and bidirectional long short-term memory network (BiLSTM) is proposed. Based on dissolved gas analysis (DGA) technology in oil, the uncoded ratio method is used to extract 9-dimensional fault features of the transformer as the input of the model for network training. The Softmax function is used to obtain fault diagnosis types in the output layer. The sparrow search algorithm (SSA) is improved by logistic chaos mapping, uniformly distributed dynamic adaptive weights and dynamic Laplacian operator. In the initial solution set, the multi-strategy improved Sparrow algorithm (MISSA) is used to optimize the target hyperparameters. In this way, the transformer fault diagnosis accuracy is optimized, and the kernel principal component analysis (KPCA) is used to reduce the dimension of fault feature indexes, and the convergence speed of the model is accelerated. Compared with PSO-BiLSTM, GWA-BiLSTM and SSA-BiLSTM fault diagnosis models, the diagnostic accuracy of the proposed model is 94%, which is 11.33%, 8.67% and 6% higher than those of PSO-BiLSTM, GWA-BiLSTM and SSA-BiLSTM fault diagnosis models, respectively. It is verified that the proposed method can effectively improve the performance of transformer fault diagnosis.

Keywords: transformer; dissolved gas in oil; sparrow algorithm; deep learning; kernel principal component analysis

0 引 言
变压器作为电力系统的重要组成部分,其运行状态直接关系到电力系统的安全稳定运行。随着电力系统规模的不断扩大,变压器的故障诊断技术也得到了快速发展。目前,常用的故障诊断方法主要有基于溶解气体分析(DGA)的方法、基于油色谱分析的方法、基于在线监测的方法等。这些方法在实际应用中存在一定的局限性,如诊断精度低、响应时间长等。为了提高变压器故障诊断的精度和效率,本文提出了一种基于多策略改进麻雀算法(MISSA)和双向长短期记忆网络(BiLSTM)的变压器故障诊断模型。该模型采用溶解气体分析(DGA)技术提取变压器故障特征,利用未编码比值法提取9维故障特征作为模型输入。在输出层采用Softmax函数获得故障诊断类型。麻雀搜索算法(SSA)通过 Logistic 混沌映射、均匀分布的动态自适应权重和动态拉普拉斯算子进行改进。在初始解集上,采用多策略改进麻雀算法(MISSA)优化目标超参数。在此过程中,变压器故障诊断精度得到优化,并采用核主成分分析(KPCA)降低故障特征维数,加速模型的收敛速度。与 PSO-BiLSTM、GWO-BiLSTM 和 SSA-BiLSTM 故障诊断模型相比,该模型的诊断精度为 94%,分别提高了 11.33%、8.67% 和 6%。本文的研究成果对于提高变压器故障诊断的精度和效率具有重要的意义。

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[4] , (dissolved
gas analysis, DGA) [5]
 , DGA
 , (support
vector machine, SVM) 、 [6]
 , [7]
 , SVM
 ;
 [8-9]
 ,
 ,
 ,
 (bidirectional long short term memory,
BiLSTM)
 [10-11] 。 BiLSTM
 ,
 ,
 [12] 。
 , (multi-
strategy improved sparrow search algorithm, MISSA)
BiLSTM
 (kernel principal component analysis, KPCA)
 , ; , Logistic
 、
 ; , MISSA BiLSTM
 , ; , KPCA
MISSA-BiLSTM
 ,
 ,
 。

1 油中溶解气体成分特征的提取

$$X_{n \times m} = [x_1, x_2, x_3, \cdots, x_n]^T, x_i \in R^N, i = 1, 2, \cdots, n$$

$$K = (K_{ef})_{p \times p} = K(z_e, z_f) = (\phi(z_e), \phi(z_f))$$

$$\widetilde{K} = K - L_N K - K L_N + L_N^T K L_N$$

$$Y = K^T \cdot \left[\frac{1}{\sqrt{\lambda_1}} v_1, \frac{1}{\sqrt{\lambda_2}} v_2, \cdots, \frac{1}{\sqrt{\lambda_s}} v_s \right]$$

2 SSA 算法

[15] (sparrow search algorithm, SSA)

$$x_{id}^{t+1} = \begin{cases} x_{id}^t \cdot \exp\left(\frac{-i}{\alpha \cdot T}\right), R_2 < ST \\ x_{id}^t + Q \cdot L, R_2 \geq ST \end{cases}$$

$$x_{id}^{t+1} = \begin{cases} Q \cdot \exp\left(\frac{xw_d^t - x_{id}^t}{l^2}\right), i > \frac{n}{2} \\ xb_d^{t+1} + |x_{id}^t - xb_d^{t+1}| A^+ \cdot L, \end{cases}$$

$$x_{id}^{t+1} = \begin{cases} xb_d^t + \beta(x_{id}^t - xb_d^t), f_i \neq f_g \\ x_{id}^t + K\left(\frac{x_{id}^t - xw_d^t}{|f_i - f_w| + \varepsilon}\right), f_i = f_g \end{cases}$$

2.1 MISSA 算法

1) Logistic

$$x_{k+1} = \mu x_k (1 - x_k)$$

$$\mu \in (0, 4]; k$$

$$x_0, \mu [3.569\ 9, 4.0],$$

Logistic x 1

$$\begin{aligned} y_i^{(1)} &= x_i^{(1)} + \beta |x_i^{(1)} - x_i^{(2)}| \\ y_i^{(2)} &= x_i^{(2)} + \beta |x_i^{(1)} - x_i^{(2)}| \end{aligned} \tag{13}$$

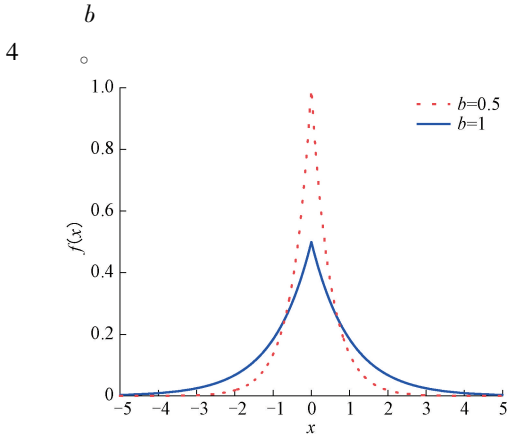


Fig. 4 Laplace density function curve

4, $b=1$ $f(x)$, ,

$$\beta = \begin{cases} a - \ln(u), u \leq \frac{1}{2} \\ a + \ln(u), u > \frac{1}{2} \end{cases}, r \leq 1 - t/T_{\max} \tag{14}$$

$b=0.5$, $f(x)$, ,

$$\beta = \begin{cases} a - \frac{1}{2} \ln(u), u \leq \frac{1}{2} \\ a + \frac{1}{2} \ln(u), u > \frac{1}{2} \end{cases}, r > 1 - t/T_{\max} \tag{15}$$

$:r \in [0, 1]$; $t, T_{\max}$
 $, 1-t/T_{\max} \in [0, 1]$.

2.2 算法测试

Ackely Griewank ,
MISSA , SSA 、
[21](particle swarm optimization, PSO)
[22](grey wolf optimizer, GWO) ,
300, 3。
Ackely , 5 ,
(16) 。

$$f_1(x) = -20 \exp \left(-0.2 \sqrt{\frac{1}{d} \sum_{i=1}^d x_i^2} \right) - \exp \left(\frac{1}{d} \sum_i \cos(2\pi x_i) \right) + 20 \tag{16}$$

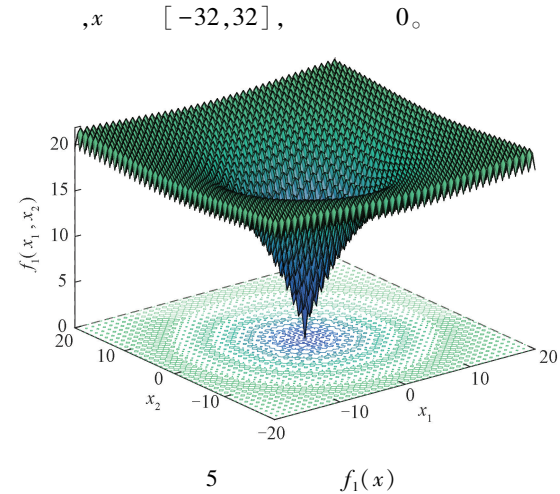


Fig. 5 Test function $f_1(x)$

Griewank , 6 ,
(17) :

$$f_2(x) = \frac{1}{4000} \sum_{i=1}^n (x_i^2) - \prod_{i=1}^n \cos \left(\frac{x_i}{\sqrt{i}} \right) + 1 \tag{17}$$

$, x \in [-600, 600], 0$ 。

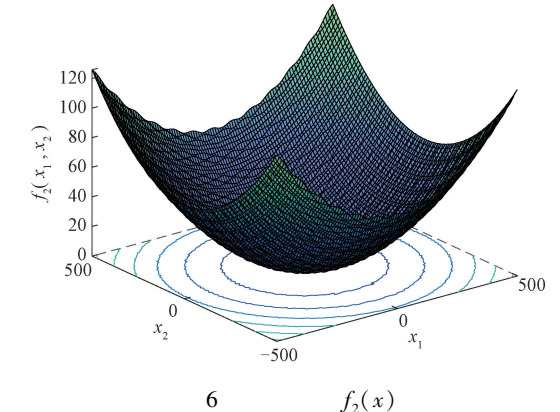
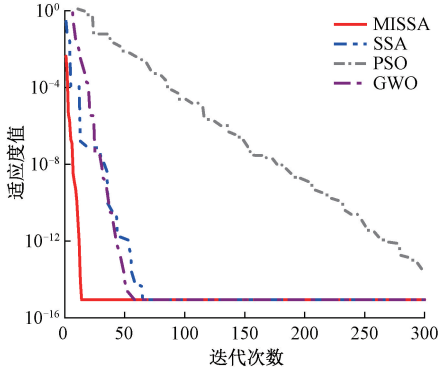


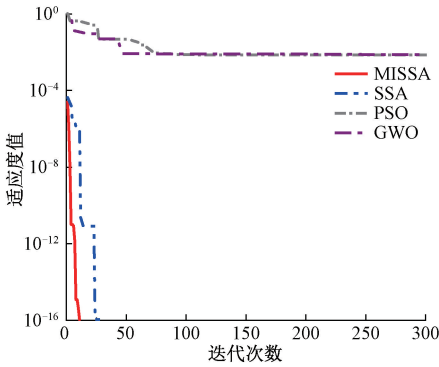
Fig. 6 Test function $f_2(x)$

$f_1(x)$ $f_2(x)$ 30 ,
7 8 。
7 8 , f_1 , PSO
 , MISSA 14
 , SSA GWO 65 53
 。 f_2 , 4 ,
GWO PSO , ;
MISSA 12 , 0, SSA
33 。 f_1 f_2 4
 ,
SSA、PSO
、GWO 。



7 MISSA,SSA,PSO GWO $f_1(x)$

Fig. 7 The $f_1(x)$ function optimization process of MISSA, SSA, PSO and GWO



8 MISSA,SSA,PSO GWO $f_2(x)$

Fig. 8 The $f_2(x)$ function optimization process of MISSA, SSA, PSO and GWO

3 BiLSTM 算法

BiLSTM (long short-term memory, LSTM), LSTM

$$f_t = \sigma[W_f(h_{t-1}, x_t) + b_f] \quad (18)$$

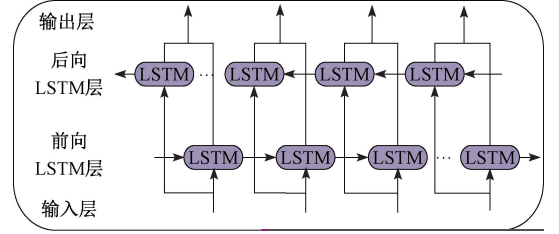
: σ Sigmoid ; x , h_{t-1}

, Sigmoid tanh

$$\begin{cases} i_t = \sigma[W_i(h_{t-1}, x_t) + b_i] \\ \tilde{C}_t = \tanh[W_c(h_{t-1}, x_t) + b_c] \\ C_t = f_t C_{t-1} + i_t \tilde{C}_t \end{cases} \quad (19)$$

$$\begin{cases} i_t = \sigma[W_i(h_{t-1}, x_t) + b_i] \\ \tilde{C}_t = \tanh[W_c(h_{t-1}, x_t) + b_c] \\ C_t = f_t C_{t-1} + i_t \tilde{C}_t \end{cases} \quad (20)$$

LSTM LSTM BiLSTM



9 BiLSTM

Fig. 9 Schematic diagram of BiLSTM structure

$$\begin{cases} \vec{h}_t = LSTM(x_t, \vec{h}_{t-1}) \\ \overleftarrow{h}_t = LSTM(x_t, \overleftarrow{h}_{t+1}) \end{cases} \quad (21)$$

$$\vec{h}_t = LSTM(x_t, \vec{h}_{t-1}) \quad (22)$$

$$y_t = W_{hy} \vec{h}_t + W_{hy} \overleftarrow{h}_t + b_y \quad (23)$$

$$: W_{hy} \vec{h}_t + W_{hy} \overleftarrow{h}_t + b_y$$

.

3.1 基于 BiLSTM 的深度网络构建

10, BiLSTM, BiLSTM, Softmax

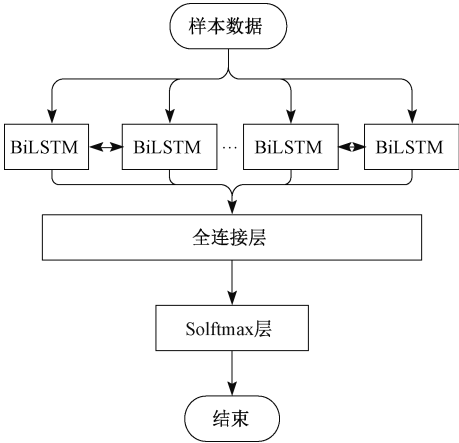
; KPCA, BiLSTM; BiLSTM, Softmax

$$\text{Softmax}(z_i) = \frac{e^{z_i}}{\sum_{c=1}^C e^{z_c}} \quad (24)$$

, z , z_i , z , i

3.2 基于 MISSA-BiLSTM 的变压器故障诊断模型

BiLSTM, MISSA, BiLSTM, BiLSTM



10 BiLSTM

Fig. 10 BiLSTM deep network construction

表 1 BiLSTM 超参数寻优区间

Table 1 BiLSTM hyperparameter optimization interval

G_1	[0~100]
G_2	[0~100]
ζ	[0.001~0.1]

MISSA-BiLSTM

11。

1) N , D , T , (8), , ,

2) BiLSTM ,

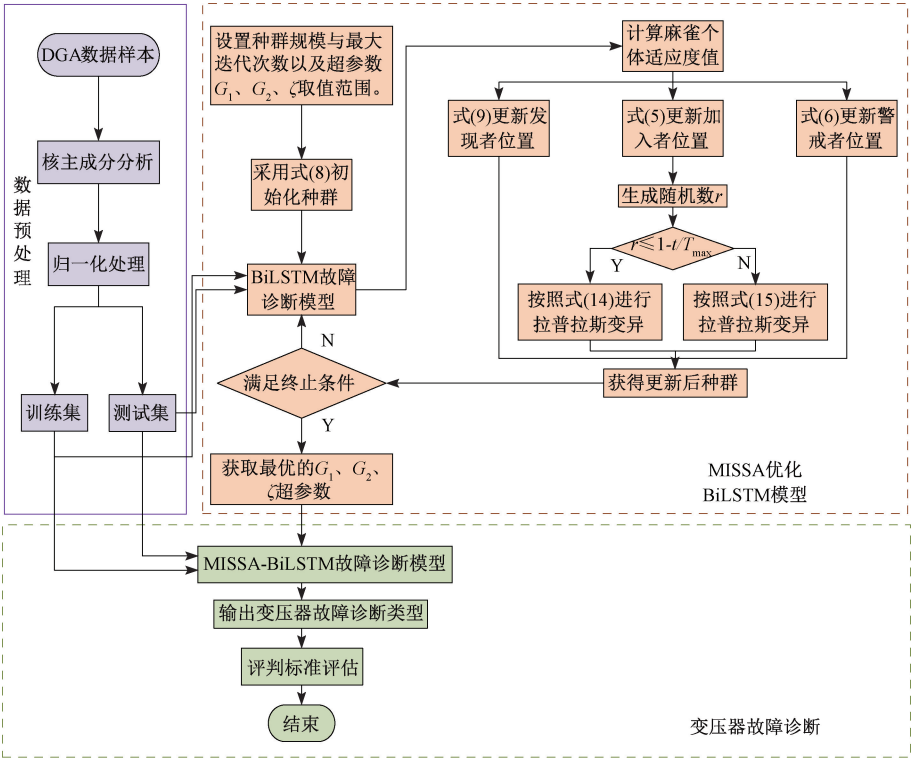
3) ,

G_1 、 G_2 、 ζ 。

BiLSTM

1。

,。



11 MISSA-BiLSTM

Fig. 11 Fault diagnosis model based on MISSA-BiLSTM

4) (9)、(5)、(6) 、 、 , ;
: r , $r \leq 1-t/T_{\max}$, (14) 6) , ,
(15) 。 BiLSTM, 4)
5) , 。

7) MISSA MISSA-
BiLSTM , Softmax 。

4 实验测试及分析

3 : [27-28]、IEC TC 10
。
，
(H₂、CH₄、C₂H₆、C₂H₄、C₂H₂)。
5
。 5
[29]。
9 CH₄/ H₂、C₂H₂/C₂H₄、
C₂H₄/C₂H₆、C₂H₂/(TH)、H₂/(H₂+ TH)、C₂H₄/(TH)、
C₂H₆/(TH)、CH₄/(TH)、(CH₄+C₂H₄)/(TH)
， TH ， (CH₄、C₂H₆、C₂H₄、C₂H₂)。
974 750 8 : 2
。
0~6，
、 、 、 、 、
7 。
2 。

表 2 样本数据的分布
Table 2 Distribution of sample data

	76	98	79	84	89	78	96
	27	20	18	24	23	13	25

4.1 变压器故障数据预处理

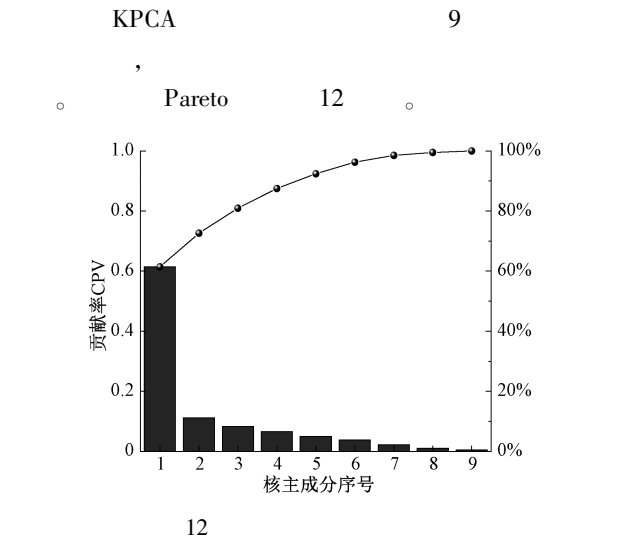


Fig. 12 Pareto diagram of nuclear principal component analysis

12 , 4
0.875 2, 4 85%
， 4
10 ，
3 。

表 3 主成分的特征向量
Table 3 Eigenvectors of principal components

1	2	3	4
0.413 9	-0.110 9	-0.021 7	0.009 5
-0.111 2	0.020 0	0.168 8	0.048 0
0.172 5	-0.120 8	-0.005 3	-0.017 5
-0.115 3	0.241 0	0.413 4	0.201 3
-0.174 2	0.139 5	0.289 9	0.109 5
0.053 1	-0.093 5	0.032 7	-0.013 5
-0.131 8	0.048 0	0.175 3	0.080 6
-0.198 6	0.039 1	-0.097 7	0.067 9
0.596 9	-0.059 2	0.041 3	-0.014 1
0.142 4	-0.004 2	0.240 6	0.110 1

4.2 不同故障诊断模型诊断结果分析

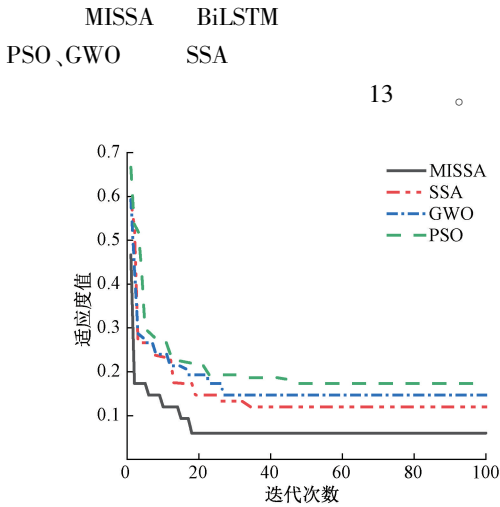
K (K-nearest neighbor, KNN)、
(extreme learning machine, ELM)、SVM、
(extreme gradient boosting, XGBoost)4
BiLSTM
， 30 ，
，
4 。

表 4 不同模型重复训练结果
Table 4 Repeated training results of different models

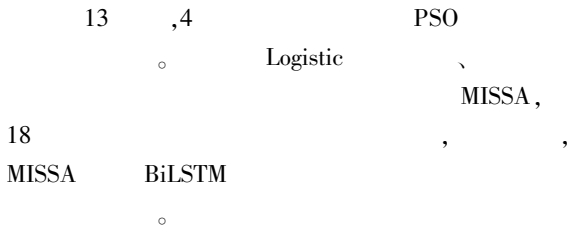
KNN	0.780 0	0.713 3	0.754 0
ELM	0.753 3	0.686 7	0.727 3
SVM	0.766 7	0.700 0	0.734 6
XGBoost	0.800 0	0.746 7	0.760 6
BiLSTM	0.840 0	0.773 3	0.808 6

4 ， BiLSTM
30 0.808 6。
，
。

4.3 算法寻优比较



13
Fig. 13 Fitness change curves



4.4 不同故障诊断模型对比分析

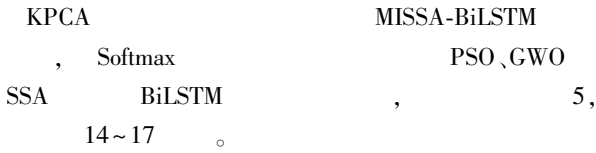
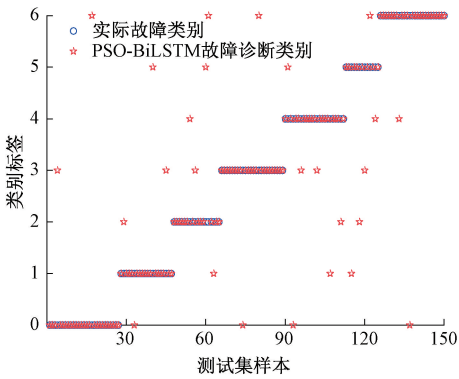


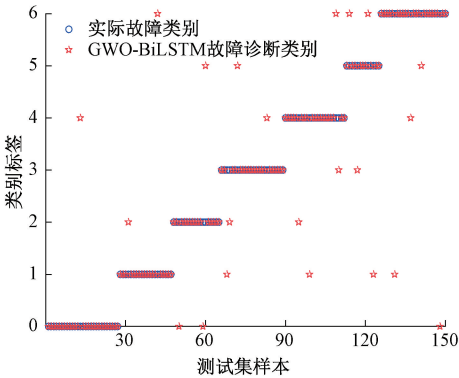
表 5 变压器故障诊断结果
Table 5 Transformer fault diagnosis results

	PSO-BiLSTM	GWO-BiLSTM	SSA-BiLSTM	MISSA-BiLSTM
	0.851 8	0.962 9	0.962 9	1.000 0
	0.800 0	0.850 0	0.950 0	0.900 0
	0.722 2	0.888 9	0.833 3	0.888 9
	0.916 7	0.833 3	0.875 0	0.958 3
	0.739 1	0.782 6	0.826 0	0.956 5
	0.615 3	0.692 3	0.846 1	0.769 2
	0.920 0	0.880 0	0.840 0	1.000 0

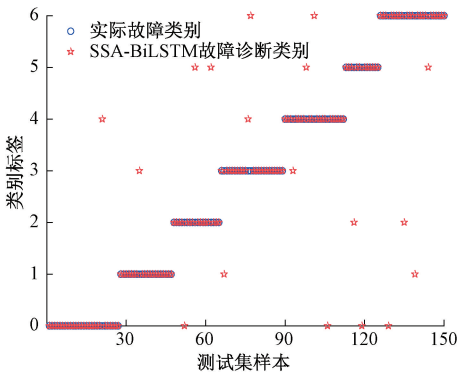
14 ~ 17 ,MISSA-BiLSTM



14 PSO-BiLSTM
Fig. 14 PSO-BiLSTM fault diagnosis results

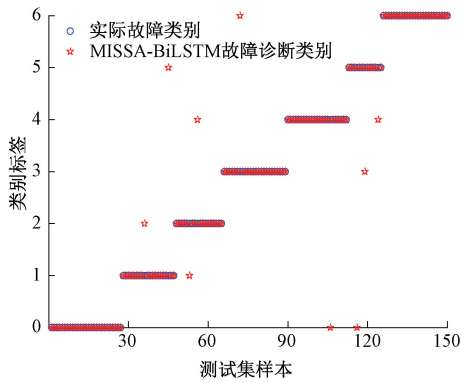


15 GWO-BiLSTM
Fig. 15 GWO-BiLSTM fault diagnosis results



16 SSA-BiLSTM
Fig. 16 SSA-BiLSTM fault diagnosis results

94%。 PSO-BiLSTM、
GWO-BiLSTM SSA-BiLSTM
82.67%、85.33%、88%。
BiLSTM



17 MISSA-BiLSTM

Fig. 17 MISSA-BiLSTM fault diagnosis results

5 结 论

Logistic 、

，

，

BiLSTM ，

(KPCA) ，

。 PSO-BiLSTM、GWO-BiLSTM

SSA-BiLSTM ，

，

。

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